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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Washington, D.C., United States of America

In re Application of: Plunk, Carlton, et al.

Serial No.: 10/722,645

Filed: 11/26/2003

SURFACE MOUNT FLUORESCENT  
For: STRIP LIGHT FIXTURE RETROFIT  
KIT AND METHOD

Examiner: Unknown

Group Art Unit: 2821

Atty. Docket: ZK522/03051

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INFORMATION DISCLOSURE STATEMENT UNDER 1.97(B)

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450.

Dear Sir/Madam:

In accordance with 37 CFR §1.56, the references listed below are brought to the attention of the examiner for consideration in connection with the examination of the above-identified patent application. A copy of the cited references is enclosed.

**U.S. REFERENCES CITED**

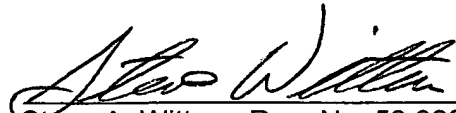
Patent No.	Date	Inventor
4,238,815	12-09-1980	Price, E.A.
4,980,808	12-25-1990	Lilos, N.
5,371,661	12-06-1994	Simpson, A.L., et al.
5,440,466	08-08-1995	Belisle, W.W., et al.
5,716,128	02-10-1998	Clark, G.M., et al.
5,746,502	05-05-1998	Huang, T-T
5,823,663	10-20-1998	Bell, L.M., et al.
6,102,550	08-15-2000	Edwards, Jr., R.D.
6,210,019 B1	04-03-2001	Weathers, R.W.
6,234,641 B1	05-22-2001	Ungard, K.R.

**Certification Under 37 CFR §1.97(b)**

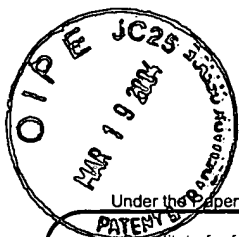
This information is submitted before a first Office Action on the merits; as such, no fee is due for its submission.

Respectfully submitted,

Date: March 17, 2004

  
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Steve A. Witters, Reg. No. 53,923  
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Encl: Form PTO-SB-21  
PTO-SB-08A (including a copy of the references)  
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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/722,645
Filing Date	11/26/2003
First Named Inventor	Plunk, Carlton
Art Unit	2821
Examiner Name	
Attorney Docket Number	ZK522/03051

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,238,815	12-09-1980	Price, E.A.	
		US- 4,980,808	12-25-1990	Lilos, N.	
		US- 5,371,661	12-06-1994	Simpson, A.L., et al.	
		US- 5,440,466	08-08-1995	Belisle, W.W., et al.	
		US- 5,716,128	02-10-1998	Clark, G.M., et al.	
		US- 5,746,502	05-05-1998	Huang, T-T	
		US- 5,823,663	10-20-1998	Bell, L.M., et al.	
		US- 6,102,550	08-15-2000	Edwards, Jr., R.D.	
		US- 6,210,019 B1	04-03-2001	Weathers, R.W.	
		US- 6,234,641 B1	05-22-2001	Ungard, K.R.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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